



## UK Hedge Fund Manager Background Check - \$850

HedgeCheck, a unit of eDiligence.com, is a licensed professional investigative services firm that looks into the past background and performance of those that may handle your money. Knowing in advance of any potential problems or issues can potentially prevent embarrassment, regulatory actions and loss of capital.

HedgeCheck's comprehensive service is far more extensive than background reports offered by other companies and at a lower price! **HedgeCheck offers more...for less.** All research is conducted by experienced investigators with years of experience.

**Discreet** – all of these searches can be conducted without the knowledge of the subject and in complete compliance with appropriate privacy rules.

HedgeCheck does not provide financial advice, or recommend financial services or products.

### **A standard UK Hedge Manager Background Check includes:**

**Address & Identifier Verification** – Research and confirm personal identifiers where possible and verify a UK address claimed by the subject. This check represents the start of all research projects. Knowing where the subject has been is crucial to researching the appropriate jurisdictions for relevant records. Also, addresses and other personal identifiers can be used to verify that located records deal with the proper subject – *eliminating potentially dozens of “false positive” hits.*

**Licensing Check** – Any registrations will be checked with the FSA, with details reported. Also reported will be any disciplinary issues. If the subject is a barrister or accountant, those qualifications will also be verified.

**Blacklist and Sanctions Lists** – HedgeCheck will run the manager's name through a series of UK, US and international databases that cover disciplinary actions for securities and trading



violations, arbitration cases and awards. The FSA, US federal licensing violations and charges, OFAC and “trading with the enemy” violations, FBI, Scotland Yard, Serious Fraud Office, Companies House exclusions, as well as dozens of other specialty databases that cover wanted terrorists, money laundering figures and more from around the world. Often, these searches are referred to as “Know Your Customer” or “Know Your Vendor” searches. HedgeCheck and its primary vendors update these databases routinely to use the “freshest” information possible. Many proprietary databases are also checked. These services actively seek out information about hedge managers and offshore financial centers and can be a tremendous resource. On more than a few occasions, this basic search can help avoid terrible regulatory and public relations problems.

**Courts Searches** – Available UK court records will be searched onsite for the last 5 years or longer as records are available. Onsite research in the UK will include a county court judgment search showing any unpaid debts, and an insolvencies and bankruptcies check will report any bankruptcies for the past 5 years. (Longer checks can also be conducted.)

In addition to the onsite research, several online databases will be checked as well. These databases contain transcript and case description information for thousands of cases tried in the UK, including Wales, Scotland and Northern Ireland in the last several decades. An important note, these databases which include civil and criminal cases, are not complete. The databases contain only legally significant, high profile and precedent setting cases that were summarized by various UK legal publications. Despite the limited scope of the database, they do occasionally return useful information, and are a worthwhile addition to a more comprehensive report.

**Officers & Directors** – HedgeCheck will list the name, domicile and status of corporations, LLC’s LP’s and more which might be linked to the subject. Note that these searches are incredibly inclusive, but not comprehensive. None the less, this is a very good search and can reveal hidden or undisclosed business relationships and ventures, and even past employment that was not otherwise volunteered. It is also helpful when researching the subject for media articles to know what companies that may have been affiliated with in the past.

### **SEC & EDGAR Searches**

These massive databases are searched for references to the subject company or individual, giving insight to the names of companies which with the subject might have had past or current dealings as well as size of deals and shareholdings registered to the subject. HedgeCheck will note in the report a summation of the types of filings located. We will not simply forward a massive “data dump” to our clients and expect them to sort it all out. Often, the individual is listed only once as



a person of record in a filing that is hundreds of pages long. That information will be abstracted into the final report. We screen the filings for you, we don't just "dump" hundreds of pages for our client's to have to pour through.

## Media & Press Articles

Experienced HedgeCheck investigators will draw on multiple UK, US and international media databases for references to your subject. We will provide a written summary of the types of coverage the subject received.

- Are the mentions in self-promoting press releases or releases touting a certain investment?
- Are references to the subject in serious business and news publications which tend to repeatedly seek comment from the subject – possibly indicating that he is a serious, respected source?
- Do media reports confirm claims made by the subject on his resume or other background source?
- Did media reports reveal significant problems, like past fraud, regulatory actions or sanctions?
- Was the subject involved with a questionable company or partners?

The types of mentions that the subject receives are important – even if they are not negative. But obviously – any item of a serious or potentially negative nature will receive primary coverage, and appropriate back-up materials will be provided to document any such allegations or reports. As with SEC research, HedgeCheck WILL NOT simply forward a huge "data dump" download. Our experienced researchers will seek to sort out the information that is useful to our clients.

Please contact HedgeCheck with your questions.

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